

Framework Collaboration Agreement

Between Danish Institute against Torture (DIGNITY)
Bryggervangen 55
DK-2100 Copenhagen
Denmark

(hereinafter "DIGNITY")

and University of Copenhagen
Nørregade 10
DK-2177 Copenhagen
Denmark

(hereinafter "UCPH")

and The Regents of the University of Colorado
acting on behalf of the University of Colorado Boulder
3100 Marine Street, 579 UCB, Boulder, CO 80309

(hereinafter "CU")

and Faculty of Psychology
Universitas Indonesia
Depok City
Indonesia

(hereinafter "UI")

and Faculty of Medicine, Universitas Syiah Kuala
Banda Ach
Indonesia
(hereinafter "UNSYIAH")

All Partners also referred to a "Partner" or the "Partners".

DIGNITY, UCPH, CU, UI, and UNSYIAH shall be referred to collectively as the "Partners" or individually as a "Partner".

1. **Scope of Collaboration, objectives and results**
- 1.1. The project title is "Addressing the Wellbeing and Security Needs of Urban Children and Adolescents in Indonesia in the Digital Era" (hereinafter the "Project"). The scope of the Project is to adapt the "Safe Communities Safe Schools" (hereinafter "SCSS") model targeting urban children and adolescents ages 10-15 years old in two high risk areas.
- 1.2. The Project location is schools in the high-risk urban slums in the cities of Depok City and Banda Aceh in Indonesia.
- 1.3. The Project starts on 1 October 2019 and has a grant duration ending on 30 June 2025.
- 1.4. The Project is outlined in the Grant Agreement entered into between Fondation Botnar and Dignity on 19 September 2019 with amendments 1 and 2 and annexes A, B, C, D and E as attached to this Framework Collaboration Agreement as Schedule 1 and is hereinafter referred to as the "Project".
- 1.5. The Project Partners bring the following background and experiences to the Project:
 - 1.5.1. DIGNITY has worked 35 years on prevention of violence and rehabilitation of torture survivors and their families and implemented research and development projects in urban slums.
 - 1.5.2. CU has significant knowledge/experience working in partnerships with schools and communities to implement comprehensive approaches, targeting children/and adolescents (for instance the SCSS model).
 - 1.5.3. UI and UNSYIAH have substantial experience with child protection, psychosocial support, violent extremism and school and community-based approaches.
 - 1.5.4. UCPH has substantial experience with anthropological research including studies on crime, criminalisation and other border and boundary crossing phenomena around the world.
- 1.6. The Project is defined as described in Annex A of the Grant Agreement and the incorporated schedules listed in Section 13 to this Framework Collaboration Agreement.
- 1.7. The objective of the Project is to develop a contextual relevant adaptation of the SCSS model and implement it in two secondary urban areas in Indonesia Depok City and Banda Aceh with the aim of enhancing the wellbeing of children and their families and improve community safety and cohesion through interventions at local schools. For further description of the objectives, reference is made to Annex A, page 4 of the Grant Agreement (Schedule 1).
- 1.8. The results of the Project will be reported to Botnar in accordance with the provisions of the Grant Agreement (Schedule 1) and will be used by Botnar and by the Partners (always with the involvement of DIGNITY as contractual Partner to the Grant Agreement) in accordance with the provisions of the Grant Agreement only.
- 1.9. According to the Grant Agreement Annex A sub clause 4.5, Botnar may request a midterm evaluation of the Project 1-2 years after the Project start as well as a final evaluation of the Project.

- 2.6. The Partners are specifically aware of the provisions of Section 12 of the Grant Agreement which sets out the rights of Botnar to terminate the Grant Agreement; such termination will also terminate the Project and any further payments to the Partners under the Project, cf. Clause 5.
- 2.7. The attention of the Partners is specifically drawn to the fact that some of the termination provisions set out in sub-clauses 12.1 and Amendment 2 of the Grant Agreement may allow Botnar to request a clawback repayment of all or part of instalments already made under the Grant Agreement provided:
- that the extent of the clawback shall not go beyond the amount of funds received by the specific Partner; and
 - that a specific Partner shall only be affected by a clawback if the reason for such clawback is caused by said Partner (in the case of CU as determined by a U.S. Court of Law).

This obligation is hereby specifically assumed and recognised by each and every Partner as regards payments received by them under the Project.

- 2.8. The attention of the Partners is also specifically drawn to Article 14 of the Grant Agreement according to which Botnar does not assume any liability for payments of costs of the Partners in respect of the Project and only accepts to pay the grant amount of CHF 2m under the terms of the Grant Agreement.
- 2.9. With reference to Article 18 of the Grant Agreement, the Partners specifically confirm and undertake that they shall comply with all anticorruption laws and policies. Reference is also made to Section 11.2 of this Framework Collaboration Agreement on anticorruption policies. CU's sole obligation with regards to anti-corruption laws and policies shall be to comply with the US Foreign Corrupt Practices Act.

3. Division of Tasks

- 3.1. The implementation and the achievement of the Project is described in headlines in the section in Annex A of the Grant Agreement headed "Monitoring, evaluation and learning". The impact framework described under this part of Annex A of the Grant Agreement will serve as the basis for the division of tasks between the Partners.
- 3.2. A more detailed division of tasks has been agreed by the Partners as set out in Amendment 2 to the Grant Agreement and in the attached Schedule 2 of this Framework Collaboration Agreement.
- 3.3. DIGNITY as represented through their Project Principal Investigator, may always propose amendments and additions to the division of tasks and milestones which will then be approved by the Steering Committee, cf. Section 7.

4. Timeline/Milestones

- 4.1. The timeline for the Project is defined by the list of milestones/deliverables set out on pages 18 and 19 of Annex A and in Annex E of the Grant Agreement.

- 5.10. FARC will meet by electronic means at least once every 6 months or as often as requested by DIGNITY. The FARC will decide by majority vote, the Chairman (The Project Principle Investigator) and 4 members shall be present at the meeting to have a quorum. The Chairman has the duty to ensure the good functioning of the FARC, monitor the performance of members and engaging with them to fulfill their role. Minutes of the FARC shall be circulated within a reasonable time (2-3 weeks) after the meeting to all members of the FARC for their comment and record and, once agreed, to all members of the Steering Committee. Decision making on financial amendments to the Grant Agreement and Framework Collaboration Agreement are referred in written format, with all the signatures of the members of the FARC present, to the Steering Committee for approval. All the FARC meetings will be recorded and filed at shared folder at DIGNITY.
- 5.11. All exchange rate losses will be covered by the contingency percentage as stated in the original budget. All exchange rates gains have to be transferred back to DIGNITY at project end.
- 5.12. Upon receipt of the funds, each Partner has the responsibility to supply DIGNITY with a bank receipt (for UCPH an invoice) of the transfer to the Partner's bank account. The receipt should include the received amount in the currency in which the transfer was made, as well as in the local currency.

6. Intellectual Property Rights

- 6.1. DIGNITY works according to the Vancouver Declaration, the Danish and European Code of Conduct for Research and the International Committee of Medical Journal Editors for Authorship.
- 6.2. In this Framework Collaboration Agreement, "Intellectual Property" shall mean intellectual property of any description including but not limited to all inventions, designs, information, specifications, formulae, improvements, discoveries, know-how, data, processes, methods, techniques, materials, technologies, products, algorithms, software, databases, general knowledge and the intellectual property rights therein, including but not limited to, patents, copyrights, database rights, design rights (registered and unregistered), trademarks, trade names and service marks, and applications for any of the above.
- 6.3. All Intellectual Property used in connection with the Project which has been generated prior to or outside the scope of the Project ("Background IP") shall remain the property of the Partners contributing the same. The Partners agree that any improvements or modifications to a Partner's Background IP arising from the Project which are not severable from that Background IP will be deemed to form part of that Partner's Background IP and be owned by that Partner. Each Partner acknowledges and confirms that nothing contained in this Framework Collaboration Agreement shall give it any right, title or interest in or to the Background IP of the other Partner save as granted by this Framework Collaboration Agreement.
- 6.4. All data sets are to be treated as independent research products. PIs including the Project Principal Investigator, co-PIs and DIGNITY have non-exclusive ownership to all data sets. Ownership to specific data sets may be ascribed to individuals based on the guidelines in the Vancouver Declaration.
- 6.5. The Intellectual Property that is owned by only one Partner under this Framework Collaboration Agreement shall be governed by the laws of the country where such Partner is incorporated. Intellectual Property that is owned by two or more Partners under this Framework Collaboration Agreement shall be governed by the laws of the country where any patents or copyrights are filed on such Intellectual Property. All authors of publications regarding the results of the Project should

- 6.13. The research protocol was developed by the Project Principal Investigator Shr-Jie Sharlenna Wang (DIGNITY), PI Morten Koch Andersen (UCPH), PI Beverly E. Kingston (CU) and co-PI Sabrina Arredondo Mattson (CU) with input from the co-researchers of the Partners. The further use and dissemination of results and Project material prior to its publication will be carried out upon mutual agreement by Botnar and all Partners. All Partners will assure that the results and recommendations are communicated to the relevant authorities.
- 6.14. During the Project period and until the first publication of results, publicising results can only take place with the prior written consent of the Steering Committee in order to safeguard the best possible publication strategy.
- 6.15. When Partners publish the study results under this Framework Collaboration Agreement, they shall follow the publication requirements for providing tribute to Fondation Botnar.
- 6.16. The Partners recognize, that it is a requirement of Botnar, that the results of the research under the Project shall be made available to the public on a non-discriminatory basis on an ongoing basis and free of charge. The Project will not be used for any kind of political, ideological or religious activism.
- 6.17. For purposes of clarity, this Section 6, Intellectual Property shall survive the termination of the Framework Collaboration Agreement

7. Steering Committee and Decisions; Advisory Board

- 7.1. The daily management of the Project is carried out by the Project Principal Investigator and PIs.
- 7.2. Each Partner will appoint one member to the Project Steering Committee, which will accordingly consist of 5 members. The Project Principal Investigator will serve as chairman of the Steering Committee. The Steering Committee can appoint a secretary, who does not need to be a member of the Steering Committee. The secretary should be an employee of DIGNITY or another Partner. A system of rotation among the Partners shall be decided and put in place at the first Steering Committee meeting. All the meetings will be recorded and stored at shared folder at DIGNITY.
- 7.3. The Steering Committee will meet (in person when already planned travels permit, and if not by electronic means, at the decision of the Chairman) at least monthly during the preparation phase and for the first two quarters of the implementation phase and twice a quarter for the rest of the Project period and as often as requested by the Chairman or two other members of the Steering Committee.
- 7.4. The Steering Committee will take decision by majority vote on all matters referred to the Steering Committee under this Framework Collaboration Agreement. The Chairman (The Project Principle Investigator) and 4 members shall be present at the meeting to have a quorum. The Chairman has the duty to ensure the good functioning of the Steering Committee, monitor the performance of members and engaging with them to fulfill their role. Decision making on any amendments to the Grant Agreement and Framework Collaboration Agreement are referred in written format with signatures of all members of the Steering Committee to the Partners for written approval. Proposals for amendments to the Grant Agreement are subsequently sent by DIGNITY as Contract Host to Botnar for written approval.

- v. 15 December 2022 for the period of 16 June 2022 to 15 December 2022
- vi. 15 June 2023 for the period of 16 December 2022 to 15 June 2023
- vii. 15 December 2023 for the period of 16 June 2023 to 15 December 2023
- viii. 15 June 2024 for the period of 16 December 2023 to 15 June 2024
- ix. 15 December 2024 for the period of 16 June 2024 to 15 December 2024
- x. 15 June 2025 for the period of 16 December 2024 to 15 June 2025

2. Annual Progress reports with the budget updated with actual expenditures

- i. 31 October 2020 for the period of 01 October 2019 to 30 September 2020
- ii. 31 October 2021 for the period of 01 October 2019 to 30 September 2021
- iii. 31 October 2022 for the period of 01 October 2019 to 30 September 2022
- iv. 31 October 2023 for the period of 01 October 2019 to 30 September 2023
- v. 31 October 2024 for the period of 01 October 2019 to 30 September 2024

The progress reports shall include achievements of the Milestones and Deliverables.

3. Annual Financial reports

- i. 28 February 2021 for the period of 01 October 2019-31 December 2020
- ii. 28 February 2022 for the period of 01 January 2021-31 December 2021
- iii. 28 February 2023 for the period of 01 January 2022 to 31 December 2022
- iv. 28 February 2024 for the period of 01 January 2023 to 31 December 2023
- v. 28 February 2025 for the period of 01 January 2024 to 31 December 2024
- vi. 28 February 2026 for the period of 01 January 2025 to 30 June 2025

4. Final Financial and Narrative reports

- i. The Final financial and Narrative reports shall be received by DIGNITY not later than 60 days after the Project end date; that is by 31 August 2025).]

- 8.3. All Partners share the responsibility to deliver satisfactory progress and financial reports in accordance with the Milestones and the Grant Agreement to DIGNITY. The Project Principal Investigator shall approve the quality of reports submitted. The instalments, cf. Section 5.1, will not be issued if the reports do not in the opinion of the Project Principal Investigator meet the requirements of the Grant Agreement or if the deadlines are not met.
- 8.4. All financial and narrative reporting to DIGNITY as Contract Host shall be completed electronically in word and Excel and forwarded to the Project Principal Investigator Shr-Jie Sharlenna Wang (sjw@dignity.dk) with a copy to scss-cofi@dignity.dk.
- 8.5. Financial reports to be provided by Partners to DIGNITY shall be in English and the Botnar Foundation templates shall be used. Annual Financial reports shall be received by DIGNITY no later than two months after the end of the year in question (28 February). To the extent possible, the Partner shall state in its annual report and any other material regarding the Project that the Project is financed by Botnar with the support of DIGNITY. The annual reports of Partners shall only be audited to the extent that such Partner habitually issues an audited annual report, cf. Section 8.1.
- 8.6. All reporting (narrative and financial) shall also be in English and the Botnar Foundation templates shall be used.
- 8.7. The narrative progress reports for the Project shall be drafted in conformity with the DIGNITY Guidelines for Project Reporting attached as Schedule 8 to this Framework Collaboration Agreement.

- 10.3 UI and UNSYIAH are to ensure that research subjects are informed about the potential risks posed by participation in the Project and that they are entitled to withdraw their consent at any time
- 10.4 The information provided by the study participants will be treated throughout the process with confidentiality according to the Declaration of Helsinki II on biomedical research. Data security rules will be developed and applied throughout the project duration following the local laws (e.g. General Data Protection Regulation (GDPR) (when applicable)).
- 10.5 Rules and guidelines for data protection will be further developed by DIGNITY and applied throughout the process of data collection, entry, storage and analysis. DIGNITY, in collaboration with Partners, will develop an additional addendum to this Framework Collaboration Agreement on data security in order to ensure the compliance with the General Data Protection Regulation. The addendum shall be signed not later than 31 August 2021. In addition, all Partners, except for UCPH (who are situated within the EU), will sign the EU Standard Contractual Clauses Agreement attached to this Framework Collaboration Agreement as Schedule 11 with DIGNITY.
- 10.6 Collection of personal data in respect of all study participants will be based on consent and shall be handled with full confidentiality and by means of secure data communication and storage between the Partners.
- 10.7 Each Partner will sign a "Material Transfer Agreement" with UNSYIAH and UI in the form attached hereto as Schedule 12 covering the requirement under Indonesian law for a written agreement serving as a basis for data transfer.
- 10.8 To further ensure the data protection and confidentiality, the PI (including the Project Principal Investigator), Co-PI, Post-Doc and Ph.D.-fellows in the Project have the ownership of the data material and access to the data material is restricted to the investigators only (PI (including the Project Principal Investigator) and Co-PI, Post-Doc and Ph.D.-fellows) unless otherwise approved in accordance with Section 7 of the Grant Agreement. Data material must be destroyed or anonymized no later than two years after the end of the Project, or when the licence to hold these from the Danish Data Protection Agency expires.
- 10.9 Use of the data material or data sets for advocacy, fundraising or other purposes outside the scope of the Project is restricted until the publication of the results and must always adhere to relevant data protection rules, cf. Section 10.4.
- 10.10 A written approval is required from the Steering Committee to grant access to data material to the specific Project team members (i.e. data entry, cleaning) or for reporting, publication, advocacy and fundraising purposes until such items are first published in accordance with the terms of the Grant Agreement and this Framework Collaboration Agreement.
- 10.11 Paper records forming part of the data material (e.g., consent forms, data files, medical records, etc.) related to human subjects' participation in the research must be securely stored on campus under a double-lock system until the Partner organizations publish the results or two years after last use for publication. Access to such files is restricted to and supervised by the PI (including the Project Principal Investigator) and co-PIs of the project. Data material in paper formats that has met its required retention period (two years after last use for publication), in accordance with the principles set out in this Framework Collaboration Agreement must be destroyed or anonymized.

Grant Agreement. All persons working on the Project shall sign such code of conduct indicating their acknowledgement and agreement to comply with the code of conduct.

- 11.11. The Partners are specifically aware of the provisions the Grant Agreement regarding whistleblowing guidelines (Annex A of Schedule 1 as attached to this Framework Collaboration Agreement). Any stakeholder to whom the Botnar Code of Conduct applies has the responsibility to report any unethical or unlawful behaviour. Furthermore, each stakeholder of Fondation Botnar and DIGNITY has an obligation to report any such matters in accordance with these whistleblowing guidelines. Fondation Botnar's whistleblowing guidelines are put in place to make the procedure of whistleblowing transparent and to eliminate any doubts concerning the effectiveness of reporting misconduct. They illustrate appropriate action to take when experiencing or discovering any violation of the Fondation Botnar Code of Conduct. The Fondation Botnar whistleblowing system is implemented in conjunction with Expolink. Expolink is based in the United Kingdom and is a leading whistleblowing hotline provider worldwide. The Botnar Whistleblowing Form to be used for reporting is attached as Schedule 14 to this Framework Collaboration Agreement.

If a Partner reports an event under the Fondation Botnar whistleblower guidelines – and if such event does not concern activities of DIGNITY or an employee of DIGNITY – the Partner will provide the CEO of Dignity as Contract Host with a copy of such report using the following email address rgc@dignity.dk.

- 11.12. CU's sole obligation with regards to whistleblowing laws and policies shall be to comply with the corresponding US whistleblowing laws. However, CU shall use the form provided as Schedule 14 for reporting purposes.

12. General Provisions

12.1. Liability

- 12.1.1. Each Partner is responsible for gross negligent acts and omissions on of their own officers, agents, employees and representatives with respect to its obligations in respect of the Project as set out in this Framework Collaboration Agreement and any agreements referring to this Framework Collaboration Agreement.

12.2. Term and Termination

- 12.2.1. The term of this Framework Collaboration Agreement is now from 1 October 2019 to 30 June 2025, unless extended by mutual written agreement of the Partners.
- 12.2.2. CU has reserved a right to terminate its participation in the Project upon 30 (thirty) days prior written notice to the other Partners. In case CU terminates its participation in the Project, CU shall receive payment of all costs incurred up to the date of termination. CU shall return any prepayment received under the Project in excess of such sums. CU shall – not withstanding such termination of its act of participation in the Project – continue to provide access to the SCSS system, cf. Section 6 on Intellectual Property Rights, for the duration of the Project.
- 12.2.3. If Botnar terminates the Grant Agreement or any Partner's participation in the Project, this Framework Collaboration Agreement shall automatically terminate in respect of the effected Partner/Partners. To the extent available from financing under the Grant Agreement, the Partners shall

- becomes public knowledge after disclosure unless such knowledge results from a breach of this Framework Collaboration Agreement;
 - was already in receiving Partner's possession prior to the time of disclosure as evidenced by written records kept in the ordinary course of business or by proof of actual use thereof;
 - is independently developed without use of the disclosing Partner's Information;
 - becomes known to receiving Partner from a source other than the disclosing Partner in a manner that does not knowingly breach an obligation of confidentiality owed to the disclosing Partner; or
 - is approved for release or use by written authorization of the disclosing Partner.
- 12.5.3. The receiving Partner's duty to protect the Information in accordance with the terms of this Framework Collaboration Agreement will extend 3 years from the completion or termination of the Framework Collaboration Agreement, notwithstanding agreements by the Partners to extend the term of the Framework Collaboration Agreement. All written documents containing Information and other material in tangible form received by either Partner under this Framework Collaboration Agreement shall remain the property of the disclosing Partner, and such documents and materials, together with copies of excerpts thereof, shall promptly be returned to disclosing Partner upon request, except one copy may be retained for archival purposes.
- 12.5.4. Notwithstanding the foregoing, the receiving Partner may disclose Information as required by law, court order, or government regulation provided however, that the receiving Partner provides notice to the disclosing Partner to provide disclosing Partner with an opportunity to minimize or oppose such disclosures. The Partners' acknowledge that CU is subject to the Colorado Public Records Act (C.R.S. §§ 24-72-201 et seq.) ("CORA") and that CU's obligations under CORA supersede its obligations under this provision. Information shall be treated by CU as confidential/proprietary to the extent permitted under CORA. Nothing herein shall preclude CU's release or use of Information as required for internal reporting.
- 12.5.5. Either Partner may decline to accept Information provided under this agreement prior to its disclosure and nothing herein obligates either Partner to disclose its own Information.
- 12.6. Force Majeure
- 12.6.1. Any of the Partners may terminate their participation in this Framework Collaboration Agreement if there are circumstances beyond a Partner's reasonable control, which make it impossible to carry out the activities. The Partner must provide such reasons in writing to the other Partners at the time of such a termination.
- 12.7. Assignment

The Partners may not without the prior written consent of Botnar and of DIGNITY as Contract Host assign, transfer, sub-contract or in any way make over to any third party the benefit and/or burden of this Framework Collaboration Agreement.

Copenhagen, ^{21/12} 2020
Danish Institute against Torture (DIGNITY)



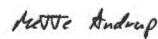
Copenhagen, 13/12 2020
University of Copenhagen



Approved TT-Office

11 December 2020

DocuSigned by:



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Mette Andrup

Boulder, 2020
The regents of the University of Colorado
Acting on behalf of the University of Colorado Boulder

Depok City, 2020
Faculty of Psychology
Universitas of Indonesia

Dean

Banda Aceh, 2020
Faculty of Medicine, Universitas Syiah Kuala

Prof. Dr. dr. Maimun Syukri, SpPD., KGH-FINASIM
Dean

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 - 10.7 Each Partner will sign a "Material Transfer Agreement" with UNSYIAH and UI in the form attached hereto as Schedule 12 covering the requirement under Indonesian law for a written agreement serving as a basis for data transfer.
 - 10.8 To further ensure the data protection and confidentiality, the PI (including the Project Principal Investigator), Co-PI, Post-Doc and Ph.D.-fellows in the Project have the ownership of the data material and access to the data material is restricted to the investigators only (PI (including the Project Principal Investigator) and Co-PI, Post-Doc and Ph.D.-fellows) unless otherwise approved in accordance with Section 7 of the Grant Agreement. Data material must be destroyed or anonymized no later than two years after the end of the Project, or when the licence to hold these from the Danish Data Protection Agency expires.
 - 10.9 Use of the data material or data sets for advocacy, fundraising or other purposes outside the scope of the Project is restricted until the publication of the results and must always adhere to relevant data protection rules, cf. Section 10.4.
 - 10.10 A written approval is required from the Steering Committee to grant access to data material to the specific Project team members (i.e. data entry, cleaning) or for reporting, publication, advocacy and fundraising purposes until such items are first published in accordance with the terms of the Grant Agreement and this Framework Collaboration Agreement.
 - 10.11 Paper records forming part of the data material (e.g., consent forms, data files, medical records, etc.) related to human subjects' participation in the research must be securely stored on campus under a double-lock system until the Partner organizations publish the results or two years after last use for publication. Access to such files is restricted to and supervised by the PI (including the Project Principal Investigator) and co-PIs of the project. Data material in paper formats that has met

- 11.9. The Partners accept to adhere to the standards of the Convention on the Rights of the child 1989 and to undertake everything possible to prevent child maltreatment and to respond and report if they are made aware of any maltreatment.
- 11.10. The Partners will incorporate a code of conduct with respect to the maltreatment of children which will reflect the minimum standards of Botnar's "Child Protection Policy" attached as Annex B to the Grant Agreement. All persons working on the Project shall sign such code of conduct indicating their acknowledgement and agreement to comply with the code of conduct.
- 11.11. The Partners are specifically aware of the provisions the Grant Agreement regarding whistleblowing guidelines (Annex A of Schedule 1 as attached to this Framework Collaboration Agreement). Any stakeholder to whom the Botnar Code of Conduct applies has the responsibility to report any unethical or unlawful behaviour. Furthermore, each stakeholder of Fondation Botnar and DIGNITY has an obligation to report any such matters in accordance with these whistleblowing guidelines. Fondation Botnar's whistleblowing guidelines are put in place to make the procedure of whistleblowing transparent and to eliminate any doubts concerning the effectiveness of reporting misconduct. They illustrate appropriate action to take when experiencing or discovering any violation of the Fondation Botnar Code of Conduct. The Fondation Botnar whistleblowing system is implemented in conjunction with Expolink. Expolink is based in the United Kingdom and is a leading whistleblowing hotline provider worldwide. The Botnar Whistleblowing Form to be used for reporting is attached as Schedule 14 to this Framework Collaboration Agreement.

If a Partner reports an event under the Fondation Botnar whistleblower guidelines – and if such event does not concern activities of DIGNITY or an employee of DIGNITY – the Partner will provide the CEO of Dignity as Contract Host with a copy of such report using the following email address rgc@dignity.dk.

- 11.12. CU's sole obligation with regards to whistleblowing laws and policies shall be to comply with the corresponding US whistleblowing laws. However, CU shall use the form provided as Schedule 14 for reporting purposes.

12. General Provisions

12.1. Liability

- 12.1.1. Each Partner is responsible for gross negligent acts and omissions on of their own officers, agents, employees and representatives with respect to its obligations in respect of the Project as set out in this Framework Collaboration Agreement and any agreements referring to this Framework Collaboration Agreement.

12.2. Term and Termination

- 12.2.1. The term of this Framework Collaboration Agreement is now from 1 October 2019 to 30 June 2025, unless extended by mutual written agreement of the Partners.
- 12.2.2. CU has reserved a right to terminate its participation in the Project upon 30 (thirty) days prior written notice to the other Partners. In case CU terminates its participation in the Project, CU shall receive payment of all costs incurred up to the date of termination. CU shall return any prepayment received under the Project in excess of such sums. CU shall – notwithstanding such termination of

12.5.2. A receiving Partner's obligations to protect the Information of the disclosing Partner shall not include information that:

- at the time of disclosure had been previously published or was otherwise in the public domain through no fault of receiving Partner;
- becomes public knowledge after disclosure unless such knowledge results from a breach of this Framework Collaboration Agreement;
- was already in receiving Partner's possession prior to the time of disclosure as evidenced by written records kept in the ordinary course of business or by proof of actual use thereof;
- is independently developed without use of the disclosing Partner's Information;
- becomes known to receiving Partner from a source other than the disclosing Partner in a manner that does not knowingly breach an obligation of confidentiality owed to the disclosing Partner; or
- is approved for release or use by written authorization of the disclosing Partner.

12.5.3. The receiving Partner's duty to protect the Information in accordance with the terms of this Framework Collaboration Agreement will extend 3 years from the completion or termination of the Framework Collaboration Agreement, notwithstanding agreements by the Partners to extend the term of the Framework Collaboration Agreement. All written documents containing Information and other material in tangible form received by either Partner under this Framework Collaboration Agreement shall remain the property of the disclosing Partner, and such documents and materials, together with copies of excerpts thereof, shall promptly be returned to disclosing Partner upon request, except one copy may be retained for archival purposes.

12.5.4. Notwithstanding the foregoing, the receiving Partner may disclose Information as required by law, court order, or government regulation provided however, that the receiving Partner provides notice to the disclosing Partner to provide disclosing Partner with an opportunity to minimize or oppose such disclosures. The Partners' acknowledge that CU is subject to the Colorado Public Records Act (C.R.S. §§ 24-72-201 et seq.) ("CORA") and that CU's obligations under CORA supersede its obligations under this provision. Information shall be treated by CU as confidential/proprietary to the extent permitted under CORA. Nothing herein shall preclude CU's release or use of Information as required for internal reporting.

12.5.5. Either Partner may decline to accept Information provided under this agreement prior to its disclosure and nothing herein obligates either Partner to disclose its own Information.

12.6. Force Majeure

12.6.1. Any of the Partners may terminate their participation in this Framework Collaboration Agreement if there are circumstances beyond a Partner's reasonable control, which make it impossible to carry out the activities. The Partner must provide such reasons in writing to the other Partners at the time of such a termination.

12.7. Assignment

The Partners may not without the prior written consent of Botnar and of DIGNITY as Contract Host assign, transfer, sub-contract or in any way make over to any third party the benefit and/or burden of this Framework Collaboration Agreement.

Copenhagen, ^{2/12} 2020
Danish Institute against Torture (DIGNITY)



Copenhagen, 2020
University of Copenhagen

Boulder, 2020
The regents of the University of Colorado
Acting on behalf of the University of Colorado Boulder

DocuSigned by:
 12/15/2020
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Depok City, 2020
Faculty of Psychology
Universitas Indonesia

Dean

Banda Aceh, 2020
Faculty of Medicine, Universitas Syiah Kuala

Prof. Dr. dr. Maimun Syukri, SpPD., KGH-FINASIM
Dean

Framework Collaboration Agreement

Between Danish Institute against Torture (DIGNITY)
Bryggervangen 55
DK-2100 Copenhagen
Denmark

(hereinafter "DIGNITY")

and University of Copenhagen
Nørregade 10
DK-2177 Copenhagen
Denmark

(hereinafter "UCPH")

and The Regents of the University of Colorado
acting on behalf of the University of Colorado Boulder
3100 Marine Street, 579 UCB, Boulder, CO 80309

(hereinafter "CU")

and Universitas Indonesia
Faculty of Psychology, Campus Depok City - Indonesia
a Legal Entity Stated University based on Government Regulation No. 68 year 2013, domiciled at Jl. Salemba Raya 4, Jakarta; in this matter represented by **Dr. Tjut Rifameutia Umar Ali, MA., Psikolog.**, in her capacity as **Dean of Faculty of Psychology Universitas Indonesia** pursuant to Delegation Letter of Rector UI No. 146/SP/R-FP/BLLH/2020 and now therefore lawfully acts for and on behalf of Universitas Indonesia.

(hereinafter "UI")

and Faculty of Medicine, Universitas Syiah Kuala
Banda Aceh
Indonesia
(hereinafter "UNSYIAH")

All Partners also referred to a "Partner" or the "Partners".

DIGNITY, UCPH, CU, UI, and UNSYIAH shall be referred to collectively as the "Partners" or individually as a "Partner".

1. Scope of Collaboration, objectives and results

- 1.1. The project title is “**Addressing the Wellbeing and Security Needs of Urban Children and Adolescents in Indonesia in the Digital Era**” (hereinafter the “**Project**”). The scope of the Project is to adapt the “**Safe Communities Safe Schools**” (hereinafter “**SCSS**”) model targeting urban children and adolescents ages 10-15 years old in two high risk areas.
- 1.2. The Project location is schools in the high-risk urban slums in the cities of Depok City and Banda Aceh in Indonesia.
- 1.3. The Project starts on 1 October 2019 and has a grant duration ending on 30 June 2025.
- 1.4. The Project is outlined in **the Grant Agreement** entered into between Fondation Botnar and DIGNITY on 19 September 2019 with amendments 1 and 2 and annexes A, B, C, D and E as attached to this Framework Collaboration Agreement as Schedule 1 and is hereinafter referred to as the “**Project**”.
- 1.5. The Project Partners bring the following background and experiences to the Project:
 - 1.5.1. DIGNITY has worked 35 years on prevention of violence and rehabilitation of torture survivors and their families and implemented research and development projects in urban slums.
 - 1.5.2. CU has significant knowledge/experience working in partnerships with schools and communities to implement comprehensive approaches, targeting children/and adolescents (for instance the SCSS model).
 - 1.5.3. UI and UNSYIAH have substantial experience with child protection, psychosocial support, violent extremism and school and community-based approaches.
 - 1.5.4. UCPH has substantial experience with anthropological research including studies on crime, criminalisation and other border and boundary crossing phenomena around the world.
- 1.6. The Project is defined as described in Annex A of the Grant Agreement and the incorporated schedules listed in Section 13 to this **Framework Collaboration Agreement**.
- 1.7. The objective of the Project is to develop a contextual relevant adaptation of the SCSS model and implement it in two secondary urban areas in Indonesia, Depok City and Banda Aceh, with the aim of enhancing the wellbeing of children and their families and improve community safety and cohesion through interventions at local schools. For further description of the objectives, reference is made to Annex A, page 4 of the Grant Agreement (Schedule 1).
- 1.8. The results of the Project will be reported to Botnar in accordance with the provisions of the Grant Agreement (Schedule 1) and will be used by Botnar and by the Partners (always with the involvement of DIGNITY as contractual Partner to the Grant Agreement) in accordance with the provisions of the Grant Agreement only.
- 1.9. According to **the Grant Agreement Annex A sub clause 4.5**, Botnar may request a midterm evaluation of the Project 1-2 years after the Project start as well as a final evaluation of the Project.



- 2.6. The Partners are specifically aware of the provisions of Section 12 of the Grant Agreement which sets out the rights of Botnar to terminate the Grant Agreement; such termination will also terminate the Project and any further payments to the Partners under the Project, cf. Clause 5.
- 2.7. The attention of the Partners is specifically drawn to the fact that some of the termination provisions set out in sub-clauses 12.1 and Amendment 2 of the Grant Agreement may allow Botnar to request a clawback repayment of all or part of instalments already made under the Grant Agreement provided:
- that the extent of the clawback shall not go beyond the amount of funds received by the specific Partner; and
 - that a specific Partner shall only be affected by a clawback if the reason for such clawback is caused by said Partner (in the case of CU as determined by a U.S. Court of Law).

This obligation is hereby specifically assumed and recognised by each and every Partner as regards payments received by them under the Project.

- 2.8. The attention of the Partners is also specifically drawn to Article 14 of the Grant Agreement according to which Botnar does not assume any liability for payments of costs of the Partners in respect of the Project and only accepts to pay the grant amount of CHF 2m under the terms of the Grant Agreement.
- 2.9. With reference to Article 18 of the Grant Agreement, the Partners specifically confirm and undertake that they shall comply with all anticorruption laws and policies. Reference is also made to Section 11.2 of this Framework Collaboration Agreement on anticorruption policies. CU's sole obligation with regards to anti-corruption laws and policies shall be to comply with the US Foreign Corrupt Practices Act.

3. Division of Tasks

- 3.1. The implementation and the achievement of the Project is described in headlines in the section in Annex A of the Grant Agreement headed "Monitoring, evaluation and learning". The impact framework described under this part of Annex A of the Grant Agreement will serve as the basis for the division of tasks between the Partners.
- 3.2. A more detailed division of tasks has been agreed by the Partners as set out in Amendment 2 to the Grant Agreement and in the attached Schedule 2 of this Framework Collaboration Agreement.
- 3.3. DIGNITY as represented through their Project Principal Investigator, may always propose amendments and additions to the division of tasks and milestones which will then be approved by the Steering Committee, cf. Section 7.

4. Timeline/Milestones

- 4.1. The timeline for the Project is defined by the list of milestones/deliverables set out on pages 18 and 19 of Annex A and in Annex E of the Grant Agreement.

- 5.10. FARC will meet by electronic means at least once every 6 months or as often as requested by DIGNITY. The FARC will decide by majority vote, the Chairman (The Project Principle Investigator) and 4 members shall be present at the meeting to have a quorum. The Chairman has the duty to ensure the good functioning of the FARC, monitor the performance of members and engaging with them to fulfill their role. Minutes of the FARC shall be circulated within a reasonable time (2-3 weeks) after the meeting to all members of the FARC for their comment and record and, once agreed, to all members of the Steering Committee. Decision making on financial amendments to the Grant Agreement and Framework Collaboration Agreement are referred in written format, with all the signatures of the members of the FARC present, to the Steering Committee for approval. All the FARC meetings will be recorded and filed at shared folder at DIGNITY.
- 5.11. All exchange rate loses will be covered by the contingency percentage as stated in the original budget. All exchange rates gains have to be transferred back to DIGNITY at project end.
- 5.12. Upon receipt of the funds, each Partner has the responsibility to supply DIGNITY with a bank receipt (for UCPH an invoice) of the transfer to the Partner's bank account. The receipt should include the received amount in the currency in which the transfer was made, as well as in the local currency.

6. Intellectual Property Rights

- 6.1. DIGNITY works according to the Vancouver Declaration, the Danish and European Code of Conduct for Research and the International Committee of Medical Journal Editors for Authorship.
- 6.2. In this Framework Collaboration Agreement, "Intellectual Property" shall mean intellectual property of any description including but not limited to all inventions, designs, information, specifications, formulae, improvements, discoveries, know-how, data, processes, methods, techniques, materials, technologies, products, algorithms, software, databases, general knowledge and the intellectual property rights therein, including but not limited to, patents, copyrights, database rights, design rights (registered and unregistered), trademarks, trade names and service marks, and applications for any of the above.
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- 10.8 To further ensure the data protection and confidentiality, the PI (including the Project Principal Investigator), Co-PI, Post-Doc and Ph.D.-fellows in the Project have the ownership of the data material and access to the data material is restricted to the investigators only (PI (including the Project Principal Investigator) and Co-PI, Post-Doc and Ph.D.-fellows) unless otherwise approved in accordance with Section 7 of the Grant Agreement. Data material must be destroyed or anonymized no later than two years after the end of the Project, or when the licence to hold these from the Danish Data Protection Agency expires.
- 10.9 Use of the data material or data sets for advocacy, fundraising or other purposes outside the scope of the Project is restricted until the publication of the results and must always adhere to relevant data protection rules, cf. Section 10.4.
- 10.10 A written approval is required from the Steering Committee to grant access to data material to the specific Project team members (i.e. data entry, cleaning) or for reporting, publication, advocacy and fundraising purposes until such items are first published in accordance with the terms of the Grant Agreement and this Framework Collaboration Agreement.
- 10.11 Paper records forming part of the data material (e.g., consent forms, data files, medical records, etc.) related to human subjects' participation in the research must be securely stored on campus under a double-lock system until the Partner organizations publish the results or two years after last use for publication. Access to such files is restricted to and supervised by the PI (including the Project Principal Investigator) and co-PIs of the project. Data material in paper formats that has met

- 11.9. The Partners accept to adhere to the standards of the Convention on the Rights of the child 1989 and to undertake everything possible to prevent child maltreatment and to respond and report if they are made aware of any maltreatment.
- 11.10. The Partners will incorporate a code of conduct with respect to the maltreatment of children which will reflect the minimum standards of Botnar's "Child Protection Policy" attached as Annex B to the Grant Agreement. All persons working on the Project shall sign such code of conduct indicating their acknowledgement and agreement to comply with the code of conduct.
- 11.11. The Partners are specifically aware of the provisions the Grant Agreement regarding whistleblowing guidelines (Annex A of Schedule 1 as attached to this Framework Collaboration Agreement). Any stakeholder to whom the Botnar Code of Conduct applies has the responsibility to report any unethical or unlawful behaviour. Furthermore, each stakeholder of Fondation Botnar and DIGNITY has an obligation to report any such matters in accordance with these whistleblowing guidelines. Fondation Botnar's whistleblowing guidelines are put in place to make the procedure of whistleblowing transparent and to eliminate any doubts concerning the effectiveness of reporting misconduct. They illustrate appropriate action to take when experiencing or discovering any violation of the Fondation Botnar Code of Conduct. The Fondation Botnar whistleblowing system is implemented in conjunction with Expolink. Expolink is based in the United Kingdom and is a leading whistleblowing hotline provider worldwide. The Botnar Whistleblowing Form to be used for reporting is attached as Schedule 14 to this Framework Collaboration Agreement.

If a Partner reports an event under the Fondation Botnar whistleblower guidelines – and if such event does not concern activities of DIGNITY or an employee of DIGNITY – the Partner will provide the CEO of Dignity as Contract Host with a copy of such report using the following email address rgc@dignity.dk.

- 11.12. CU's sole obligation with regards to whistleblowing laws and policies shall be to comply with the corresponding US whistleblowing laws. However, CU shall use the form provided as Schedule 14 for reporting purposes.

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- 12.1.1. Each Partner is responsible for gross negligent acts and omissions on of their own officers, agents, employees and representatives with respect to its obligations in respect of the Project as set out in this Framework Collaboration Agreement and any agreements referring to this Framework Collaboration Agreement.

12.2. Term and Termination

- 12.2.1. The term of this Framework Collaboration Agreement is now **from 1 October 2019 to 30 June 2025**, unless extended by mutual written agreement of the Partners.
- 12.2.2. CU has reserved a right to terminate its participation in the Project upon 30 (thirty) days prior written notice to the other Partners. In case CU terminates its participation in the Project, CU shall receive payment of all costs incurred up to the date of termination. CU shall return any prepayment received under the Project in excess of such sums. CU shall – not withstanding such termination of

12.5.2. A receiving Partner's obligations to protect the Information of the disclosing Partner shall not include information that:

- at the time of disclosure had been previously published or was otherwise in the public domain through no fault of receiving Partner;
- becomes public knowledge after disclosure unless such knowledge results from a breach of this Framework Collaboration Agreement;
- was already in receiving Partner's possession prior to the time of disclosure as evidenced by written records kept in the ordinary course of business or by proof of actual use thereof;
- is independently developed without use of the disclosing Partner's Information;
- becomes known to receiving Partner from a source other than the disclosing Partner in a manner that does not knowingly breach an obligation of confidentiality owed to the disclosing Partner; or
- is approved for release or use by written authorization of the disclosing Partner.

12.5.3. The receiving Partner's duty to protect the Information in accordance with the terms of this Framework Collaboration Agreement will extend 3 years from the completion or termination of the Framework Collaboration Agreement, notwithstanding agreements by the Partners to extend the term of the Framework Collaboration Agreement. All written documents containing Information and other material in tangible form received by either Partner under this Framework Collaboration Agreement shall remain the property of the disclosing Partner, and such documents and materials, together with copies of excerpts thereof, shall promptly be returned to disclosing Partner upon request, except one copy may be retained for archival purposes.

12.5.4. Notwithstanding the foregoing, the receiving Partner may disclose Information as required by law, court order, or government regulation provided however, that the receiving Partner provides notice to the disclosing Partner to provide disclosing Partner with an opportunity to minimize or oppose such disclosures. The Partners' acknowledge that CU is subject to the Colorado Public Records Act (C.R.S. §§ 24-72-201 et seq.) ("CORA") and that CU's obligations under CORA supersede its obligations under this provision. Information shall be treated by CU as confidential/proprietary to the extent permitted under CORA. Nothing herein shall preclude CU's release or use of Information as required for internal reporting.

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12.6.1. Any of the Partners may terminate their participation in this Framework Collaboration Agreement if there are circumstances beyond a Partner's reasonable control, which make it impossible to carry out the activities. The Partner must provide such reasons in writing to the other Partners at the time of such a termination.

12.7. Assignment

The Partners may not without the prior written consent of Botnar and of DIGNITY as Contract Host assign, transfer, sub-contract or in any way make over to any third party the benefit and/or burden of this Framework Collaboration Agreement.

Copenhagen, 21/12 2020
Danish Institute against Torture (DIGNITY)

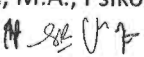


Copenhagen, 2020
University of Copenhagen

Boulder, 2020
The regents of the University of Colorado
Acting on behalf of the University of Colorado Boulder

Depok City, 2020
Universitas Indonesia



Dr. Tjut Rifameutia Umar Ali, M.A., Psikolog.
Dean Faculty of Psychology 
No. 88/AOI/FP/UI/2020

Banda Aceh, 2020
Faculty of Medicine, Universitas Syiah Kuala

Prof. Dr. dr. Maimun Syukri, SpPD., KGH-FINASIM
Dean

Framework Collaboration Agreement

Between Danish Institute against Torture (DIGNITY)
Bryggervangen 55
DK-2100 Copenhagen
Denmark

(hereinafter "DIGNITY")

and University of Copenhagen
Nørregade 10
DK-2177 Copenhagen
Denmark

(hereinafter "UCPH")

and The Regents of the University of Colorado
acting on behalf of the University of Colorado Boulder
3100 Marine Street, 579 UCB, Boulder, CO 80309

(hereinafter "CU")

and Faculty of Psychology
Universitas Indonesia
Depok City
Indonesia

(hereinafter "UI")

and Faculty of Medicine, Universitas Syiah Kuala
Banda Ach
Indonesia
(hereinafter "UNSYIAH")

All Partners also referred to a "Partner" or the "Partners".

DIGNITY, UCPH, CU, UI, and UNSYIAH shall be referred to collectively as the "Partners" or individually as a "Partner".

- 1. Scope of Collaboration, objectives and results**
- 1.1. The project title is "Addressing the Wellbeing and Security Needs of Urban Children and Adolescents in Indonesia in the Digital Era" (hereinafter the "Project"). The scope of the Project is to adapt the "Safe Communities Safe Schools" (hereinafter "SCSS") model targeting urban children and adolescents ages 10-15 years old in two high risk areas.
- 1.2. The Project location is schools in the high-risk urban slums in the cities of Depok City and Banda Aceh in Indonesia.
- 1.3. The Project starts on 1 October 2019 and has a grant duration ending on 30 June 2025.
- 1.4. The Project is outlined in the Grant Agreement entered into between Fondation Botnar and Dignity on 19 September 2019 with amendments 1 and 2 and annexes A, B, C, D and E as attached to this Framework Collaboration Agreement as Schedule 1 and is hereinafter referred to as the "Project".
- 1.5. The Project Partners bring the following background and experiences to the Project:
 - 1.5.1. DIGNITY has worked 35 years on prevention of violence and rehabilitation of torture survivors and their families and implemented research and development projects in urban slums.
 - 1.5.2. CU has significant knowledge/experience working in partnerships with schools and communities to implement comprehensive approaches, targeting children/and adolescents (for instance the SCSS model).
 - 1.5.3. UI and UNSYIAH have substantial experience with child protection, psychosocial support, violent extremism and school and community-based approaches.
 - 1.5.4. UCPH has substantial experience with anthropological research including studies on crime, criminalisation and other border and boundary crossing phenomena around the world.
- 1.6. The Project is defined as described in Annex A of the Grant Agreement and the incorporated schedules listed in Section 13 to this Framework Collaboration Agreement.
- 1.7. The objective of the Project is to develop a contextual relevant adaptation of the SCSS model and implement it in two secondary urban areas in Indonesia Depok City and Banda Aceh with the aim of enhancing the wellbeing of children and their families and improve community safety and cohesion through interventions at local schools. For further description of the objectives, reference is made to Annex A, page 4 of the Grant Agreement (Schedule 1).
- 1.8. The results of the Project will be reported to Botnar in accordance with the provisions of the Grant Agreement (Schedule 1) and will be used by Botnar and by the Partners (always with the involvement of DIGNITY as contractual Partner to the Grant Agreement) in accordance with the provisions of the Grant Agreement only.
- 1.9. According to the Grant Agreement Annex A sub clause 4.5, Botnar may request a midterm evaluation of the Project 1-2 years after the Project start as well as a final evaluation of the Project.

- 2.6. The Partners are specifically aware of the provisions of Section 12 of the Grant Agreement which sets out the rights of Botnar to terminate the Grant Agreement; such termination will also terminate the Project and any further payments to the Partners under the Project, cf. Clause 5.
- 2.7. The attention of the Partners is specifically drawn to the fact that some of the termination provisions set out in sub-clauses 12.1 and Amendment 2 of the Grant Agreement may allow Botnar to request a clawback repayment of all or part of instalments already made under the Grant Agreement provided:
- that the extent of the clawback shall not go beyond the amount of funds received by the specific Partner; and
 - that a specific Partner shall only be affected by a clawback if the reason for such clawback is caused by said Partner (in the case of CU as determined by a U.S. Court of Law).

This obligation is hereby specifically assumed and recognised by each and every Partner as regards payments received by them under the Project.

- 2.8. The attention of the Partners is also specifically drawn to Article 14 of the Grant Agreement according to which Botnar does not assume any liability for payments of costs of the Partners in respect of the Project and only accepts to pay the grant amount of CHF 2m under the terms of the Grant Agreement.
- 2.9. With reference to Article 18 of the Grant Agreement, the Partners specifically confirm and undertake that they shall comply with all anticorruption laws and policies. Reference is also made to Section 11.2 of this Framework Collaboration Agreement on anticorruption policies. CU's sole obligation with regards to anti-corruption laws and policies shall be to comply with the US Foreign Corrupt Practices Act.

3. Division of Tasks

- 3.1. The implementation and the achievement of the Project is described in headlines in the section in Annex A of the Grant Agreement headed "Monitoring, evaluation and learning". The impact framework described under this part of Annex A of the Grant Agreement will serve as the basis for the division of tasks between the Partners.
- 3.2. A more detailed division of tasks has been agreed by the Partners as set out in Amendment 2 to the Grant Agreement and in the attached Schedule 2 of this Framework Collaboration Agreement.
- 3.3. DIGNITY as represented through their Project Principal Investigator, may always propose amendments and additions to the division of tasks and milestones which will then be approved by the Steering Committee, cf. Section 7.

4. Timeline/Milestones

- 4.1. The timeline for the Project is defined by the list of milestones/deliverables set out on pages 18 and 19 of Annex A and in Annex E of the Grant Agreement.

- 5.10. FARC will meet by electronic means at least once every 6 months or as often as requested by DIGNITY. The FARC will decide by majority vote, the Chairman (The Project Principle Investigator) and 4 members shall be present at the meeting to have a quorum. The Chairman has the duty to ensure the good functioning of the FARC, monitor the performance of members and engaging with them to fulfill their role. Minutes of the FARC shall be circulated within a reasonable time (2-3 weeks) after the meeting to all members of the FARC for their comment and record and, once agreed, to all members of the Steering Committee. Decision making on financial amendments to the Grant Agreement and Framework Collaboration Agreement are referred in written format, with all the signatures of the members of the FARC present, to the Steering Committee for approval. All the FARC meetings will be recorded and filed at shared folder at DIGNITY.
- 5.11. All exchange rate loses will be covered by the contingency percentage as stated in the original budget. All exchange rates gains have to be transferred back to DIGNITY at project end.
- 5.12. Upon receipt of the funds, each Partner has the responsibility to supply DIGNITY with a bank receipt (for UCPH an invoice) of the transfer to the Partner's bank account. The receipt should include the received amount in the currency in which the transfer was made, as well as in the local currency.

6. Intellectual Property Rights

- 6.1. DIGNITY works according to the Vancouver Declaration, the Danish and European Code of Conduct for Research and the International Committee of Medical Journal Editors for Authorship.
- 6.2. In this Framework Collaboration Agreement, "Intellectual Property" shall mean intellectual property of any description including but not limited to all inventions, designs, information, specifications, formulae, improvements, discoveries, know-how, data, processes, methods, techniques, materials, technologies, products, algorithms, software, databases, general knowledge and the intellectual property rights therein, including but not limited to, patents, copyrights, database rights, design rights (registered and unregistered), trademarks, trade names and service marks, and applications for any of the above.
- 6.3. All Intellectual Property used in connection with the Project which has been generated prior to or outside the scope of the Project ("Background IP") shall remain the property of the Partners contributing the same. The Partners agree that any improvements or modifications to a Partner's Background IP arising from the Project which are not severable from that Background IP will be deemed to form part of that Partner's Background IP and be owned by that Partner. Each Partner acknowledges and confirms that nothing contained in this Framework Collaboration Agreement shall give it any right, title or interest in or to the Background IP of the other Partner save as granted by this Framework Collaboration Agreement.
- 6.4. All data sets are to be treated as independent research products. PIs including the Project Principal Investigator, co-PIs and DIGNITY have non-exclusive ownership to all data sets. Ownership to specific data sets may be ascribed to individuals based on the guidelines in the Vancouver Declaration.
- 6.5. The Intellectual Property that is owned by only one Partner under this Framework Collaboration Agreement shall be governed by the laws of the country where such Partner is incorporated. Intellectual Property that is owned by two or more Partners under this Framework Collaboration Agreement shall be governed by the laws of the country where any patents or copyrights are filed on such Intellectual Property. All authors of publications regarding the results of the Project should

- 6.13. The research protocol was developed by the Project Principal Investigator Shr-Jie Sharlenna Wang (DIGNITY), PI Morten Koch Andersen (UCPH), PI Beverly E. Kingston (CU) and co-PI Sabrina Arredondo Mattson (CU) with input from the co-researchers of the Partners. The further use and dissemination of results and Project material prior to its publication will be carried out upon mutual agreement by Botnar and all Partners. All Partners will assure that the results and recommendations are communicated to the relevant authorities.
- 6.14. During the Project period and until the first publication of results, publicising results can only take place with the prior written consent of the Steering Committee in order to safeguard the best possible publication strategy.
- 6.15. When Partners publish the study results under this Framework Collaboration Agreement, they shall follow the publication requirements for providing tribute to Fondation Botnar.
- 6.16. The Partners recognize, that it is a requirement of Botnar, that the results of the research under the Project shall be made available to the public on a non-discriminatory basis on an ongoing basis and free of charge. The Project will not be used for any kind of political, ideological or religious activism.
- 6.17. For purposes of clarity, this Section 6, Intellectual Property shall survive the termination of the Framework Collaboration Agreement

7. Steering Committee and Decisions; Advisory Board

- 7.1. The daily management of the Project is carried out by the Project Principal Investigator and PIs.
- 7.2. Each Partner will appoint one member to the Project Steering Committee, which will accordingly consist of 5 members. The Project Principal Investigator will serve as chairman of the Steering Committee. The Steering Committee can appoint a secretary, who does not need to be a member of the Steering Committee. The secretary should be an employee of DIGNITY or another Partner. A system of rotation among the Partners shall be decided and put in place at the first Steering Committee meeting. All the meetings will be recorded and stored at shared folder at DIGNITY.
- 7.3. The Steering Committee will meet (in person when already planned travels permit, and if not by electronic means, at the decision of the Chairman) at least monthly during the preparation phase and for the first two quarters of the implementation phase and twice a quarter for the rest of the Project period and as often as requested by the Chairman or two other members of the Steering Committee.
- 7.4. The Steering Committee will take decision by majority vote on all matters referred to the Steering Committee under this Framework Collaboration Agreement. The Chairman (The Project Principle Investigator) and 4 members shall be present at the meeting to have a quorum. The Chairman has the duty to ensure the good functioning of the Steering Committee, monitor the performance of members and engaging with them to fulfill their role. Decision making on any amendments to the Grant Agreement and Framework Collaboration Agreement are referred in written format with signatures of all members of the Steering Committee to the Partners for written approval. Proposals for amendments to the Grant Agreement are subsequently sent by DIGNITY as Contract Host to Botnar for written approval.

- v. 15 December 2022 for the period of 16 June 2022 to 15 December 2022
- vi. 15 June 2023 for the period of 16 December 2022 to 15 June 2023
- vii. 15 December 2023 for the period of 16 June 2023 to 15 December 2023
- viii. 15 June 2024 for the period of 16 December 2023 to 15 June 2024
- ix. 15 December 2024 for the period of 16 June 2024 to 15 December 2024
- x. 15 June 2025 for the period of 16 December 2024 to 15 June 2025

2. Annual Progress reports with the budget updated with actual expenditures

- i. 31 October 2020 for the period of 01 October 2019 to 30 September 2020
- ii. 31 October 2021 for the period of 01 October 2019 to 30 September 2021
- iii. 31 October 2022 for the period of 01 October 2019 to 30 September 2022
- iv. 31 October 2023 for the period of 01 October 2019 to 30 September 2023
- v. 31 October 2024 for the period of 01 October 2019 to 30 September 2024

The progress reports shall include achievements of the Milestones and Deliverables.

3. Annual Financial reports

- i. 28 February 2021 for the period of 01 October 2019-31 December 2020
- ii. 28 February 2022 for the period of 01 January 2021-31 December 2021
- iii. 28 February 2023 for the period of 01 January 2022 to 31 December 2022
- iv. 28 February 2024 for the period of 01 January 2023 to 31 December 2023
- v. 28 February 2025 for the period of 01 January 2024 to 31 December 2024
- vi. 28 February 2026 for the period of 01 January 2025 to 30 June 2025

4. Final Financial and Narrative reports

- i. The Final financial and Narrative reports shall be received by DIGNITY not later than 60 days after the Project end date; that is by 31 August 2025).]

- 8.3. All Partners share the responsibility to deliver satisfactory progress and financial reports in accordance with the Milestones and the Grant Agreement to DIGNITY. The Project Principal Investigator shall approve the quality of reports submitted. The instalments, cf. Section 5.1, will not be issued if the reports do not in the opinion of the Project Principal Investigator meet the requirements of the Grant Agreement or if the deadlines are not met.
- 8.4. All financial and narrative reporting to DIGNITY as Contract Host shall be completed electronically in word and Excel and forwarded to the Project Principal Investigator Shr-Jie Sharlenna Wang (sjw@dignity.dk) with a copy to scss-cofi@dignity.dk.
- 8.5. Financial reports to be provided by Partners to DIGNITY shall be in English and the Botnar Foundation templates shall be used. Annual Financial reports shall be received by DIGNITY no later than two months after the end of the year in question (28 February). To the extent possible, the Partner shall state in its annual report and any other material regarding the Project that the Project is financed by Botnar with the support of DIGNITY. The annual reports of Partners shall only be audited to the extent that such Partner habitually issues an audited annual report, cf. Section 8.1.
- 8.6. All reporting (narrative and financial) shall also be in English and the Botnar Foundation templates shall be used.
- 8.7. The narrative progress reports for the Project shall be drafted in conformity with the DIGNITY Guidelines for Project Reporting attached as Schedule 8 to this Framework Collaboration Agreement.

- 10.3 UI and UNSYIAH are to ensure that research subjects are informed about the potential risks posed by participation in the Project and that they are entitled to withdraw their consent at any time
- 10.4 The information provided by the study participants will be treated throughout the process with confidentiality according to the Declaration of Helsinki II on biomedical research. Data security rules will be developed and applied throughout the project duration following the local laws (e.g. General Data Protection Regulation (GDPR) (when applicable)).
- 10.5 Rules and guidelines for data protection will be further developed by DIGNITY and applied throughout the process of data collection, entry, storage and analysis. DIGNITY, in collaboration with Partners, will develop an additional addendum to this Framework Collaboration Agreement on data security in order to ensure the compliance with the General Data Protection Regulation. The addendum shall be signed not later than 31 August 2021. In addition, all Partners, except for UCPH (who are situated within the EU), will sign the EU Standard Contractual Clauses Agreement attached to this Framework Collaboration Agreement as Schedule 11 with DIGNITY.
- 10.6 Collection of personal data in respect of all study participants will be based on consent and shall be handled with full confidentiality and by means of secure data communication and storage between the Partners.
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Grant Agreement. All persons working on the Project shall sign such code of conduct indicating their acknowledgement and agreement to comply with the code of conduct.

- 11.11. The Partners are specifically aware of the provisions the Grant Agreement regarding whistleblowing guidelines (Annex A of Schedule 1 as attached to this Framework Collaboration Agreement). Any stakeholder to whom the Botnar Code of Conduct applies has the responsibility to report any unethical or unlawful behaviour. Furthermore, each stakeholder of Fondation Botnar and DIGNITY has an obligation to report any such matters in accordance with these whistleblowing guidelines. Fondation Botnar's whistleblowing guidelines are put in place to make the procedure of whistleblowing transparent and to eliminate any doubts concerning the effectiveness of reporting misconduct. They illustrate appropriate action to take when experiencing or discovering any violation of the Fondation Botnar Code of Conduct. The Fondation Botnar whistleblowing system is implemented in conjunction with Expolink. Expolink is based in the United Kingdom and is a leading whistleblowing hotline provider worldwide. The Botnar Whistleblowing Form to be used for reporting is attached as Schedule 14 to this Framework Collaboration Agreement.

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- 12.2.3. If Botnar terminates the Grant Agreement or any Partner's participation in the Project, this Framework Collaboration Agreement shall automatically terminate in respect of the effected Partner/Partners. To the extent available from financing under the Grant Agreement, the Partners shall

- becomes public knowledge after disclosure unless such knowledge results from a breach of this Framework Collaboration Agreement;
 - was already in receiving Partner's possession prior to the time of disclosure as evidenced by written records kept in the ordinary course of business or by proof of actual use thereof;
 - is independently developed without use of the disclosing Partner's Information;
 - becomes known to receiving Partner from a source other than the disclosing Partner in a manner that does not knowingly breach an obligation of confidentiality owed to the disclosing Partner; or
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- 12.5.3. The receiving Partner's duty to protect the Information in accordance with the terms of this Framework Collaboration Agreement will extend 3 years from the completion or termination of the Framework Collaboration Agreement, notwithstanding agreements by the Partners to extend the term of the Framework Collaboration Agreement. All written documents containing Information and other material in tangible form received by either Partner under this Framework Collaboration Agreement shall remain the property of the disclosing Partner, and such documents and materials, together with copies of excerpts thereof, shall promptly be returned to disclosing Partner upon request, except one copy may be retained for archival purposes.
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Copenhagen, ^{21/12} 2020
Danish Institute against Torture (DIGNITY)



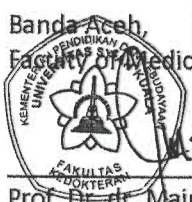
Copenhagen, 2020
University of Copenhagen

Boulder, 2020
The regents of the University of Colorado
Acting on behalf of the University of Colorado Boulder

Depok City, 2020
Faculty of Psychology
Universitas Indonesia

Dean

Banda Aceh, ^{14 Des} 2020
Faculty of Medicine Universitas Syiah Kuala



Prof. Dr. dr. Maimun Syukri, SpPD., KGH-FINASIM
Dean

Morten Olesen

From: Rasmus Grue Christensen
Sent: 18. december 2020 07:16
To: Shr-Jie S. Wang
Cc: Morten Olesen; Dorrit Rée Akselbo
Subject: Re: Signature for Framework Collaboration Agreement for Foundation Botnar

Dear Morten -

I hereby authorize you with a power of attorney to sign the mentioned framework agreement for Foundation Botnar.

Med venlig hilsen

Rasmus Grue Christensen
Direktør / CEO
DIGNITY

Sendt fra min telefon

Den 18. dec. 2020 kl. 05.02 skrev Shr-Jie S. Wang <sjw@dignity.dk>:

Hi Rasmus,

We are collecting the final signature from one partner today. When we have all the signatures of all the partners, would you please authorize Morten to sign it, then we can send the signed Framework Collaboration Agreement to Foundation Botnar by the end of today.

Thanks a lot for your help,

Best regards,

Shr-Jie Sharlenna Wang, MPH, PhD
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